**Application form for the Funds under the Facility**

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| **BASIC DATA: IMPLEMENTING BODY, CALL, APPLICANT, PARTNER, PROJECT** | | | | | |
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| **Implementing Body – basic data** | | | | | |
| **Name of the Implementing Body:** | | Ministry of investment, regional development and informatization of the SR | | | |
| **Address of the Implementing Body:** | | Pribinova 4195/25, 811 09 Bratislava | | | |
| **Registration number of the Implementing Body (IČO)** | | 50349287 | | | |
| **Call basic data – programme structure** | | | | | |
| **Call title:** | | Call for RRF Funds applications of the Funds under the Facility to support research and development in the field of digital transformation of the Slovak Republic | | | |
| **Call number:** | | 17I04-04-V04 | | | |
| **Component:** | | 17 – Digital Slovakia | | | |
| **Investment/Reform** | | Investment 4: Support for projects aiming at the development and application of top digital technologies | | | |
| **Applicant – basic data** | | | | | |
| **Applicant name:** | |  | | | |
| **Company registration Nr. (IČO):** | |  | | | |
| **Tax registration Nr. (DIČ):** | |  | | | |
| **Value added tax payer:** | | Vyberte položku. | | | |
| **Registration Nr. For VAT (IČ DPH):** | |  | | | |
| **Legal form:** | |  | | | |
| **Address:** | |  | | | |
| **Correspondence address:** | |  | | | |
| **Statutory representative[[1]](#footnote-1):** | |  | | | |
| **Contact person - Applicant** | | | | | |
| **Name and surname**:  (The applicant shall indicate at least one person and contact details.) | |  | | | |
| **Phone number:** | |  | | | |
| **Email:** | |  | | | |
| **Partner – basic data (if applicable)** | | | | | |
| **Applicant name:** | |  | | | |
| **Company registration Nr. (IČO):** | |  | | | |
| **Tax registration Nr. (DIČ):** | |  | | | |
| **Value added tax payer:** | |  | | | |
| **Registration Nr. For VAT (IČ DPH):** | | Vyberte položku. | | | |
| **Company registration Nr. (IČO):** | |  | | | |
| **Legal form:** | |  | | | |
| **Address:** | |  | | | |
| **Correspondence address:** | |  | | | |
| **Statutory representative[[2]](#footnote-2):** | |  | | | |
| **Contact person – partner (if applicable)** | | | | | |
| **Name and surname**:  (The applicant shall indicate at least one person and contact details.) | |  | | | |
| **Phone number:** | |  | | | |
| **Email:** | |  | | | |
| **Project – basic data[[3]](#footnote-3)** | | | | | |
| **Project title**: |  | | | | |
| **Acronym**: |  | | | | |
| **Implementation period:** | od: 18. 10. 2024 | | | | do: DD.MM.RRRR |
| **Project implementation location**:  (The applicant shall indicate region, county, district, municipality and address of the project implementation for each location where the project will be implemented) | | | | | |
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| **Summary (abstract):**  (The applicant shall provide a brief summary of the expected results of the project, why the project is useful, what problem/topic it aims to address, what is the added value of the results of the project, why it needs to be funded under this call,max 1200 characters) | | | | | |
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| **Project objective:**  (The applicant shall describe the main objective of the project, which will contribute to the defined overall objective of the Digital Transformation of Slovakia domain in a maximum of 500 characters) | | | | | |
| The aim of the Digital Transformation of Slovakia domain within the framework of the Research and Innovation Strategy for Smart Specialization of the Slovak Republic 2021-2027 is to support the digital transformation of all areas of society in order to improve the quality of life of citizens, increase the competitiveness of industry and the entire economy and to ensure the efficient performance of state administration..  The project objective is ..... | | | | | |
| **Priority domain area to be addressed by the project**:  (The applicant shall indicate in the tick box the priority domain area within which the activities will be implemented; a minimum of one and a maximum of four priority domain areas shall be indicated) | | | | | |
| 3-1: Smart and connected sensors and devices  3-2: Increasing the utility value of all types of data and databases  3-3: Inteligent energy systems  3-4: Cybersecurity and cryptography | | | | | |
| **Project funding overview in EUR - total overview for the applicant and the partner (if applicable)** | | | | | |
| **Total eligible project costs excluding VAT:** | | | |  | |
| **Amount requested from the Funds under the Facility excluding VAT** (the amount from the budget form – project details, cell F13)**:** | | | |  | |
| **Amount of VAT requested** (the amount from the budget form – project details, cell F14)**:** | | | |  | |
| **Amount of mandatory co-financing excluding VAT** (the amount from the budget form – project details, cell F15)**:** | | | |  | |
| **EU or national aid provided for this project [[4]](#footnote-4)** | | | | | |
| Aid Implementing Body: | | |  | | |
| Aid title: | | |  | | |
| Year of approval of the aid: | | |  | | |
| Contract number: | | |  | | |
| Website link: | | |  | | |
| Ammount in EUR: | | |  | | |
| Is the approved aid part of the amount requested in the application for the Funds under the Facility? | | | Vyberte položku. | | |
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| **EXCELLENCE** | | | | | |
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| **Detailed project description** | | | | | |
| **Baseline situation and relevance of the intended objectives of the project:**  (The applicant and the partner (if relevant) describe the baseline situation in the area addressed by the project and the underlying factors on which the implementation of the project is based, the demand for the results of the project, the rationale for the solution, the basis for the comparative advantage of the project and the solution for Slovakia, and the linkage of the project activities with the long-term priorities of the applicant and the partner /if relevant/) | | | | | |
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| **Connection of the project and its planned results to strategic documents, long-term priorities of the Slovak Republic in the field of digitalisation, the project relation to the European research area and context**:  (The applicant and the partner (if relevant) will indicate the relevant strategies, initiatives or actions in accordance with which the project will be implemented at both national and European level and, where appropriate, the links to projects/programmes within the European Research Area) | | | | | |
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| **Description of applicant/partner (if relevant)** | | | | | |
| **Description of the applicant:**  (The applicant shall describe the organisation, the main focus of activities, a brief history, experience in project implementation and other relevant information such as cooperation within the European or international area, results of activities, products/services, etc. At the same time, the applicant shall indicate the staff, material and financial capacities needed for the implementation of the project.) | | | | | |
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| **References of the applicant:**  (For the last two years, the applicant shall submit references of experience in research, development and/or innovation in one of the following ways or a combination thereof: 1. a list of R&D projects carried out, 2. a list of intellectual property (industrial designs, patents, patent applications, etc.), 3. a list of innovative products or services launched on the market, together with information on the turnover for the last two years. The list of references shall be given in the form of a table: 1. name of the project, project number, area/theme, implementation from-to, source of funding, link/reference to the information source; 2. name of the intellectual property, type/patent, industrial design, etc., number, link with reference to the relevant register; 3. name of the product/service, since when it has been launched on the market, amount of turnover over the relevant period of time.) | | | | | |
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| **Description of the partner** (if relevant):  (The partner shall describe the organisation with which it will cooperate, the main focus of the activities, a brief history, experience in project implementation and other relevant information such as cooperation within the European or international area, results of the activities, products/services, etc. Indication of the partner's human, material and financial capacities needed for the implementation of the project and the partner's role in the project.) | | | | | |
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| **References of the partner (if relevant):**  (For the last two years, the applicant shall submit references of experience in research, development and/or innovation in one of the following ways or a combination thereof: 1. a list of R&D projects carried out, 2. a list of intellectual property (industrial designs, patents, patent applications, etc.), 3. a list of innovative products or services launched on the market, together with information on the turnover for the last two years. The list of references shall be given in the form of a table: 1. name of the project, project number, area/theme, implementation from-to, source of funding, link/reference to the information source; 2. name of the intellectual property, type/patent, industrial design, etc., number, link with reference to the relevant register; 3. name of the product/service, since when it has been launched on the market, amount of turnover over the relevant period of time.) | | | | | |
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| **Project SWOT analysis** | | | | | |
| **Strengths**  (The applicant and the partner (if relevant) describe the strengths of the project, in particular what differentiates the project organisation and solution from the competition, the added value of the solution, the economic benefits of the project results, the innovativeness and originality of the solution, etc., a survey of the competitive environment and competitive advantages may be included.) | | | | | |
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| **Weaknesses**  (In this section, the applicant together with the partner (if relevant) will indicate factors that may negatively affect the implementation and results of the project, where the organisation does not have a competitive advantage compared to others, inadequate processes, quality of staffing, etc..) | | | | | |
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| **Opportunities**  (The ability to anticipate and prepare for competitive advantage within the marketplace is one of the most important aspects of an organisation's success. Opportunities can be both short and long term in the area within which the organisation operates. They can be external or internal, they can lead to minor but also substantial changes that will bring about a foothold in the market. The applicant shall indicate the expected applicability and prospectivity of the planned results in a national and international context, the economic benefits of the project results, the general impact of the project results. It is appropriate to indicate market research, market growth, forecasts.) | | | | | |
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| **Threats**  (The applicant and the partner (if relevant) will briefly outline internal and external threats, factors that may negatively affect the business, vulnerability of the organisation, slow adaptation to change, etc.) | | | | | |
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| **The technical design of the project and its sustainability and potential for exploitation**:  (The applicant and the partner (if relevant) will describe the technical design of the project - how the project will be implemented, who will be involved in the implementation, what are the key factors for successful implementation. This section should include in particular: the scientific approaches and methodology of the solution, the procedures for the implementation of the project activities and their link to the expected outputs and outcomes of the project, the relevance of the methodology to the achievement of the project objective, the interdisciplinarity of the approach, the intersectoral collaboration, the principles of innovation of the proposed solution and its benefits for society.) | | | | | |
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| **IMPACT** | | | | | |
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| **Project impact** | | | | | |
| **Project expected results, impact and sustainability:**  (The applicant describes expected project impact and its scope, project contribution to digital ecosystem development in Slovakia, potential synergies with other projects on national or international level and how the sustainability will be ensured together with use of project results in practice.) | | | | | |
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| **Communication and project results dissemination** | | | | | |
| **Communication plan, knowledge transfer and access to project results**:  (The applicant and the partner (if relevant) shall indicate how the publicity of the project and the communication of the project results will be ensured. In this section, the applicant shall describe the target groups to be informed (key actors at national, regional and/or local level, the general public where appropriate), the strategy and content of the information and communication measures, including activities, communication tools (website, social networks, media, etc.) and the timeframe of the information activities. It will also list the public or professional events (workshop, conference, online events, etc.) it plans to organise.  The contractor draws the attention of the applicant and its partner to the obligations regarding access to the results of the project as laid down in Article J. of the State Aid scheme as amended by Amendment No 1 , SA.113533. This is without prejudice to the right of the applicant and its partner (if applicable) to intellectual property rights, i.e. patent protection of the project results, etc.) | | | | | |
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| **IMPLEMENTATION** |
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| **Project management and deliverables** |
| **Project plan - activities, timeline (timetable) and direct deliverables**:  (The applicant and the partner (if relevant) shall list the activities that are necessary for the implementation of the project and the direct (tangible) outputs of the activities in a structured form: activity serial number, title of the activity, a brief description of the activity, the direct output of the activity (what will be achieved by the activity, tangible or intangible output), by whom the activity will be implemented (applicant and/or partner). This structure will also be used as a basis for the subsequent allocation of items within the project budget. The applicant and the partner (if relevant) will add rows in the table if necessary. In case the submitted project addresses more than one Domain 3 transformation objective, we request a table with a list of activities for each Domain 3 transformation objective separately.) |
| |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | **Activity serial number** | **Name of activity** | **Activity output** | **Applicant / partner** | **Starttart dd.mm.yyyy** | **End**  **dd.mm.yyyy** | |  | Project management |  |  |  |  | |  |  |  |  |  |  | |  |  |  |  |  |  | |  |  |  |  |  |  | |  |  |  |  |  |  | |  |  |  |  |  |  | |  |  |  |  |  |  | |  |  |  |  |  |  | |  |  |  |  |  |  | |  |  |  |  |  |  | |  |  |  |  |  |  | |
| **Milestones - timeline**  (A timeline against which the project will be monitored. A milestone corresponds to one or more activities that must be implemented in order for the milestone to be met. The applicant together with the partner (if relevant) will add rows in the table if necessary.) |
| |  |  |  |  |  | | --- | --- | --- | --- | --- | | **Milestone serial number** | **Name of milestone** | **Activities needed to achieve the milestone** | **Start**  **dd.mm.yyyy** | **End**  **dd.mm.yyyy** | |  |  |  |  |  | |  |  |  |  |  | |  |  |  |  |  | |  |  |  |  |  | |
| **Monitoring/reporting** |
| (The applicant shall indicate in the table the measurable indicators - the name, the unit of measurement, the baseline value of the indicator, the target value of the indicator and the type, whether it is a core or a sub-indicator. The headline indicators are binding and the applicant is obliged to achieve the planned values at the end of the project or at the end of the sustainability period.) |
| | Name of the measurable/common indicator | Unit of measurement | Baseline value | Target value at the end of project implementation | Type of indicator main/secondary (sub indicator) | | --- | --- | --- | --- | --- | |  |  |  |  |  | |  |  |  |  |  | |  |  |  |  |  | |  |  |  |  |  | |
| **Implementation risks and risk management** |
| **Risk management**  (The applicant and the partner (if relevant) will list the risks of project implementation and how the risks will be eliminated. Internal risks are risks that are within the control of the project promoter, related to project management, staffing, etc., and can be avoided or mitigated. External risks may have an impact on project implementation but can be managed to a certain extent by the project promoter (e.g. time risk in procurement, subcontracting, etc.). Political risks cannot be influenced by the implementing body, but may have a significant impact on project implementation - change of legislation, macroeconomic situation, etc. The applicant and the partner (if relevant) will add rows in the table where appropriate. In the text below the table, the applicant shall indicate how the risks are eliminated or mitigated.) |
| |  |  |  |  | | --- | --- | --- | --- | | **Risk serial number** | **Name of risk** | **Type of risk (internal/external/political)** | **Probability of occurence**  **(low/medium/high)** | |  |  |  |  | |  |  |  |  | |  |  |  |  | |  |  |  |  | |
| **Project management and operational capacity of the applicant/partner** |
| **Staffing for project implementation - personnel management**:  (The applicant and the partner (if relevant) will describe the project management structure and the responsibilities of the different actors involved in the implementation. The management structure may be attached in the form of an organigram. The description should include a brief explanation of how the project will be managed, including financial management, reporting, etc. In particular, key staff positions - both managerial and professional - for both the applicant and the partner should be indicated, including professional/educational requirements. It must be clear from the description what capacity the applicant (and partner) has, whather it will have sufficient expertise in relation to the expected project results. The applicant shall submit as annexes CVs of key experts, managers, including external experts. If the specific person is not known at the time of submission of the Funding Application, a description of the position and the required expertise shall be provided.) |
|  |
| **Material and financial capacity of the applicant and partner (if relevant)**  (The applicant and the partner (if relevant) will describe the technical background and availability of the infrastructure needed for the implementation of the project, the material and financial capacities (e.g. own resources needed for the co-financing of the project).) |
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| **ATTACHMENTS AND AFFIDAVITS OF THE APPLICANT AND PARTNER, SIGNATURES** | | |
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| **Attachments** | | |
| 1. | **Power of attorney** or other document proving the person's authority to act on behalf of the applicant (if applicable) | |
| 2. | **Information required for requesting a criminal record extract** (mandatory Annex 3 of the call for applications) | |
| 3. | **Project details and project budget** (Annex 3 of the PPM Contract) | |
| 4. | **Affidavit of partner** (if applicable) (Annex 1.a of the call for applications) | |
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| **Affidavit of the applicant** | | |
| The applicant for the Mechanism (hereinafter referred to as "the applicant") solemnly declares that: | | |
| by his/her signature he/she agrees that he/she can be contacted by the implementing body or the intermediary for the purpose of completing information, documents or communication with the implementing body or the intermediary by means of the contact details provided in his/her profile in ISPO or in this application, i.e. by e-mail or by telephone; | | |
| in accordance with Act No. 368/2021 Coll. on the Mechanism for Recovery and Resilience Support and on Amendments and Additions to Certain Acts, as amended (hereinafter also referred to as the "Mechanism Act"), submits an application for Funds under the Facility in accordance with Section 16 of the said Act: identification data of the applicant to the extent specified in the call, the purpose for which the provision of Funds under the Facility is requested, the amount of Funds under the Facility requested, documents or information demonstrating compliance with the conditions for the provision of Funds under the Facility, other documents, data or information demonstrating compliance with the conditions for the provision of Funds under the Facility, if specified by the implementing entity in the call; | | |
| is aware of the responsibility to provide complete, true and correct information, acknowledging that evidence to the contrary carries the risk of possible consequences in the assessment of the RRF Funds Application and/or the implementation of the project (e.g. possibility of extraordinary termination of the contractual relationship, incurrence of ineligible expenditure); | | |
| is an eligible applicant - legal entity / natural person - entrepreneur carrying out research and development according to § 7 (a), (b), (c), (d) and (e) of Act No. 172/2005 Coll. on the organisation of state support for research and development and on the amendment of Act No. 575/2001 Coll. on the organisation of the activities of the government and the organisations of the central state administration, as amended, in the sense of the condition of the Provision of the funds of the mechanism No. 1 of the call for proposals; | | |
| is not a person who has been finally convicted of an offence of subsidy fraud, an offence of damage to the financial interests of the European Union, an offence of procurement and public auction-rigging, an offence of bribery, an offence of indirect corruption or an offence of receiving and granting an undue advantage;  nor is it a legal person whose statutory body, member of the statutory body or any other person acting on its behalf has been finally convicted of the offence of subsidy fraud, the offence of damage to the financial interests of the European Union, the offence of procurement and public auction rigging, the offence of bribery, the offence of indirect corruption or the offence of receiving and granting an undue advantage; | | |
| is not registered in the Early Detection and Exclusion System (EDES) as an excluded person or entity (within the meaning of Article 135 et seq. of Regulation 2018/10468); | | |
| it is not subject to State aid conditions and rules: the State aid conditions and rules do not apply to the entities listed in section B.1 Eligibility conditions of the applicant, points (a), (b) and (c). For these entities, the Funds under the Facility will cover 100% of the total eligible expenditure (the aid intensity is 100%). Where the applicant carries out other activities not covered by the funding of this call, it will ensure that separate accounting records are kept for these activities so that it is clear that the Funds under the Facility does not finance the applicant's other activities; | | |
| it is subject to the conditions and rules of State aid: the conditions and rules of State aid apply to the entities listed in section B.1 Eligibility conditions of the applicant, points (d), (e) and (f). The aid intensity is determined according to the size category of the undertaking and the type of activity and ranges from 25 % to a maximum of 80 %; | | |
| the project will be implemented only in the eligible area. The eligible place of project implementation for this call is the entire territory of the Slovak Republic; | | |
| accepts the obligation to define the project's measurable indicators and common measurable indicators as given in the call; | | |
| the submitted project complies with the principle of "Do not significant harm" within the meaning of Regulation (EU) 2020/852 of the European Parliament and of the Council that the project supported by the Mechanism cannot significantly undermine any of the environmental objectives (climate change mitigation, climate change adaptation, sustainable use and protection of water and marine resources, circular economy, including waste prevention and recycling, prevention and control of air, water or soil pollution, protection and restoration of biodiversity and ecosystems); | | |
| the project complies with the minimum and maximum project size; | | |
| is obliged, within the meaning of Article 13 of the Mechanism Act, to avoid double funding from the Funds under the Facility and from European Union funds or from other European Union programmes, i.e. that it does not apply for funding from other public sources for the eligible expenditure specified in the project, and that it has not received a contribution from public funds for this expenditure in the past; | | |
| in the case of a favourably evaluated application for Funds under the Facility, acknowledges the right of the Implementing Entity to publish information on the name and address of the organisation, the title of the project, the amount of the Funds under the Facility and, where appropriate, other relevant data relating to the implementation of the project and its results; | | |
| undertakes to immediately inform the Implementing Body in writing of all changes related to the stated data and facts. | | |
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| **Signature part - applicant** | | |
| Signature location: | |  |
| Date of signature: | |  |
| Title, name and surname of the applicant´s statutory body: | |  |
| Applicant´s handwritten signature, certified by an official (if applicable): | |  |

1. In the case of several statutory representative, please provide all of them. [↑](#footnote-ref-1)
2. In the case of several statutory representative, please provide all of them. [↑](#footnote-ref-2)
3. The categorisation of projects according to size is binding, in this Call, there are medium-sized projects with a minimum of 11 pages and a maximum of 20 pages in project description. [↑](#footnote-ref-3)
4. In case of more aid, please prived all of them. [↑](#footnote-ref-4)